

# Orleans Capital Management Corporation

## Form ADV: Part 2B

Uniform Application for Investment Advisor Registration  
March 30, 2022

Item 1: Cover Page

Part 2B of Form ADV: Brochure Supplement

Orleans Capital Management Corporation  
830 West Causeway Approach, Suite 1200  
Mandeville, Louisiana 70471

Telephone: 504-592-4680  
Website: [www.orleanscapital.com](http://www.orleanscapital.com)

Supervised Persons (by location):

1. Emily C. Becker & Phyllis Kyle  
830 West Causeway Approach  
Suite 1200  
Mandeville, Louisiana 70471

2. Rodney J. Abele & Catherine M. Johnson  
2201 Veterans Blvd  
Suite 410  
Metairie, Louisiana 70002

3. L. Farrell Crane, Jr. & John M. Crain  
9433 Bee Caves Road  
Bldg 3, Ste 103  
Austin, Texas 78733

This brochure supplement provides information about the Firm's portfolio managers that supplements the Orleans Capital Management Corporation brochure. You should have received a copy of that brochure. Please contact Sandra L. Lai or Amy LeBoeuf at Orleans Capital Management Corporation if did not receive Orleans Capital Management Corporation's brochure or if you have any questions about the contents of this supplement.

Additional information about all Orleans Capital Management Corporation is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Emily C. Becker**

### Item 2: Educational Background and Business Experience

Emily C. Becker was born in 1967. Emily is a portfolio manager and member of investment committee and a principal owner of Orleans Capital Management. Emily is a senior portfolio manager with primary responsibility for most of the Firm's institutional fixed income accounts including pension funds, religious foundations and endowments.

Prior to joining Orleans Capital, Emily was an institutional investment officer at a Louisiana bank where she provided investment portfolio strategy and execution services. Emily began her career with the trust department at a major regional bank in Houston with principal responsibility in investment administration.

Emily holds a Bachelor's degree in economics from Baylor University and has more than 25 years' experience in the investment management field.

### Item 3: Disciplinary Information

There are no legal or disciplinary events associated with Emily C. Becker.

### Item 4: Other Business Activities

Emily C. Becker is not actively engaged in any investment-related business or occupation outside of Orleans Capital Management Corporation.

### Item 5: Additional Compensation

Emily C. Becker is not compensated on the bases of sales, client referrals, or new accounts.

### Item 6: Supervision

Emily C. Becker is president of Orleans Capital Management Corporation. Mrs. Becker operates under the strict guidelines of the firm's Written Supervisory Procedures Manual. L. Farrell Crane, Jr. serves as the supervisor for Emily C. Becker. L. Farrell Crane, Jr. can be contacted through the Orleans Capital Management company information above.

### Item 7: Requirements for State-Registered Advisors

There are no liable claims or bankruptcy petitions associated with Emily C. Becker.

## **Rodney J. Abele**

### Item 2: Educational Background and Business Experience

Rodney J. Abele was born in 1945. Rod is a senior portfolio manager and member of investment committee and a principal owner of Orleans Capital Management. Rod is a senior portfolio manager with primary responsibility for high net worth individual accounts as well as public and corporate pension funds and religious foundations and endowments.

Formerly, Rod was an executive vice president of a major regional bank and manager of the Trust and Capital Markets Division. He began his career as a fixed income portfolio manager.

A Chartered Financial Analyst, Rod received a Bachelor's degree in Economics from the University of Notre Dame and a Master's of Business Administration from Tulane University. He is a past president of a religious foundation and currently serves on its Board of Directors. Rod has more than 30 years' experience in investment management.

### Item 3: Disciplinary Information

There are no legal or disciplinary events associated with Rodney J. Abele.

### Item 4: Other Business Activities

Rodney J. Abele is not actively engaged in any investment-related business or occupation outside of Orleans Capital Management Corporation.

### Item 5: Additional Compensation

Rodney J. Abele is not compensated on the bases of sales, client referrals, or new accounts.

### Item 6: Supervision

Rodney J. Abele is a principal owner and senior portfolio manager of Orleans Capital Management Corporation. Mr. Abele operates under the strict guidelines of the firm's Written Supervisory Procedures Manual. Emily C. Becker serves as the supervisor for Rodney J. Abele. Emily C. Becker can be contacted through the Orleans Capital Management company information above.

### Item 7: Requirements for State-Registered Advisors

There are no liable claims or bankruptcy petitions associated with Rodney J. Abele.

## **L. Farrell Crane, Jr.**

### Item 2: Educational Background and Business Experience

L. Farrell Crane, Jr. was born in 1962. Farrell is a senior portfolio manager and member of investment committee and a principal owner of Orleans Capital Management. Farrell holds a Bachelor's degree in Economics from Austin College and a J.D. from the University of Texas. Farrell has more than 20 years' of investment management experience managing both fixed income and equity allocations. A summary of his portfolio management history is as follows

- February 2016- present: Became 20% owner of Energy Opportunities Capital Management.
- January 2009- present: Officer/Director/Portfolio Manager at Orleans Capital Management.
- January 2009- present: Portfolio Manager for EOCM and Managing Director I President Energy Opportunities Capital Management, LLC.
- April 2000 - December 2008: Portfolio Manager of the Energy Opportunities strategies, Co- Portfolio Manager to Core Fixed Strategy, and Co-Portfolio Manager Strategic Dividend Strategy at Orleans Capital Management.

Before this, Mr. Crane spent thirteen years as a corporate lawyer, managing financial restructurings of both publicly and privately held institutions and developing an extensive background in the review and analysis of securities. He was formerly a partner with Kirkendall & Isgur, L.L.P. and Of Counsel with Butler & Binion, L.L.P. and Fulbright & Jaworski L.L.P. in Houston, Texas.

### Item 3: Disciplinary Information

There are no legal or disciplinary events associated with L. Farrell Crane, Jr.

### Item 4: Other Business Activities

L. Farrell Crane, Jr. is dually registered as an investment adviser representative with Orleans Capital Management Corporation and EOCM. He is an Officer, Director, and Portfolio Manager with OCM and the President, Portfolio Manager and Owner for EOCM. When evaluating the needs of a client, individuals who are investment adviser representatives of both EOCM and OCM will disclose to the client that services can be provided through either advisory firm and will work with the client to determine whether the services of EOCM or OCM are most appropriate to the client. As the fees charged by EOCM may be higher or lower than those charged by OCM, clients should be aware that, in this situation, the possibility of receiving greater compensation by recommending one firm over the other presents a potential conflict of interest. The firm monitors the activities of each investment adviser representative to guard against actual conflicts and make certain the client's interests are protected.

### Item 5: Additional Compensation

L. Farrell Crane, Jr. is not compensated on the bases of sales, client referrals, or new accounts. However, L. Farrell Crane, Jr. does receive additional compensation in his position as President and Portfolio Manager at EOCM.

### Item 6: Supervision

L. Farrell Crane, Jr. is a vice president, officer and director of Orleans Capital Management Corporation in addition to being a portfolio manager for Energy Opportunities Capital Management, LLC. Mr. Crane operates under the strict guidelines of the firm's Written Supervisory Procedures Manual. Emily C. Becker serves as the supervisor for L. Farrell Crane, Jr. Emily C. Becker can be contacted through the Orleans Capital Management company information above.

### Item 7: Requirements for State-Registered Advisors

There are no liable claims or bankruptcy petitions associated with L. Farrell Crane, Jr.

## **Phyllis Kyle**

### Item 2: Educational Background and Business Experience

Phyllis Kyle was born in 1964. Phyllis is the trader and a portfolio manager for the fixed income portfolios.

Prior to joining Orleans Capital, Phyllis was a Vice President at Whitney National Bank. There, she was responsible for executing all trades for taxable fixed income products for 12 salespeople covering institutional and retail clients. She provided portfolio analysis and recommendations, with a focus on community banks. She also trained bank personnel on how to maximize trading efficiencies. Prior to her role at Whitney, she held similar positions at three other regional banks during her career.

Phyllis has completed level 1 of the Chartered Financial Analyst program. She graduated from Louisiana State University with a BS in Finance.

### Item 3: Disciplinary Information

There are no legal or disciplinary events associated with Phyllis Kyle

### Item 4: Other Business Activities

Phyllis Kyle is not actively engaged in any investment-related business or occupation outside of Orleans Capital Management Corporation.

### Item 5: Additional Compensation

Phyllis Kyle is not compensated on the bases of sales, client referrals, or new accounts.

### Item 6: Supervision

Phyllis serves as a Trader and Portfolio Manager. Phyllis has primary responsibility for managing the firm's fixed income portfolios and as firm trader. Emily C. Becker serves as the supervisor for Phyllis Kyle. Emily C. Becker can be contacted through the Orleans Capital Management company information above.

### Item 7: Requirements for State-Registered Advisors

There are no liable claims or bankruptcy petitions associated with Phyllis Kyle.

## **Catherine (Cappy) M. Johnson**

### Item 2: Educational Background and Business Experience

Cappy Johnson was born in 1974. Cappy has more than 15 years of experience in investment management for foundation, endowment, and personal portfolios. Cappy started her career as a financial advisor in New York with a major Wall Street brokerage firm. She holds a Bachelor's degree and an M.B.A. in Finance from Tulane University.

Cappy's professional affiliations include the CFA Institute and the CFA Society of Louisiana where she is also a Past President. In addition, Cappy is a member of the Allstate Sugar Bowl committee and other local professional and civic organizations.

### Item 3: Disciplinary Information

There are no legal or disciplinary events associated with Cappy Johnson

### Item 4: Other Business Activities

Cappy Johnson is not actively engaged in any investment-related business or occupation outside of Orleans Capital Management Corporation.

### Item 5: Additional Compensation

Cappy Johnson is not compensated on the bases of sales, client referrals, or new accounts.

### Item 6: Supervision

Cappy serves as a Portfolio Manager and Analyst. Cappy has primary responsibility for managing the firm's high net worth individual accounts. Cappy also serves as firm analyst. Rodney Abele serves as the supervisor for Cappy Johnson. Rodney Abele can be contacted through the Orleans Capital Management company information above.

### Item 7: Requirements for State-Registered Advisors

There are no liable claims or bankruptcy petitions associated with Cappy Johnson.

## **John M. Crain**

### Item 2: Educational Background and Business Experience

John M. Crain was born in 1987. John has over 10 years experience in investment management as an analyst and trader. John started his career as an analyst with EOCM focused primarily in traditional, alternative/renewable and disruptive energy technologies. John holds a Bachelor's degree from Kenyon College in Gambier, Ohio.

Prior to working at EOCM, John participated in the Accelerator Business Internship Program at Vanderbilt University. While in this program, John worked with many well-known companies such as Bridgestone and Lexus presenting solution based presentations. John also worked briefly for a local Houston bank in customer service.

### Item 3: Disciplinary Information

There are no legal or disciplinary events associated with John M. Crain

### Item 4: Other Business Activities

John M. Crain is dually registered as an investment adviser representative with Orleans Capital Management Corporation and EOCM. He is an Assistant Portfolio Manager and Analyst with OCM and an Assistant Portfolio Manager, Analyst and Owner for EOCM. When evaluating the needs of a client, individuals who are investment adviser representatives of both EOCM and OCM will disclose to the client that services can be provided through either advisory firm and will work with the client to determine whether the services of EOCM or OCM are most appropriate to the client. As the fees charged by EOCM may be higher or lower than those charged by OCM, clients should be aware that, in this situation, the possibility of receiving greater compensation by recommending one firm over the other presents a potential conflict of interest. The firm monitors the activities of each investment adviser representative to guard against actual conflicts and make certain the client's interests are protected.

### Item 5: Additional Compensation

John M. Crain is not compensated on the bases of sales, client referrals, or new accounts. However, John M. Crain does receive additional compensation in his position as Assistant Portfolio Manager and Analyst at EOCM.

### Item 6: Supervision

John M. Crain serves as a Portfolio Manager and Analyst for Orleans Capital Management. John M. Crain has primary responsibility for managing the firm's strategic dividend large cap value strategy. John also serves as firm analyst. Emily C. Becker serves as the supervisor for John M. Crain. Emily C. Becker can be contacted through the Orleans Capital Management Company information above.

### Item 7: Requirements for State-Registered Advisors

There are no liable claims or bankruptcy petitions associated with Cappy Johnson.